

# FACTS

## WHAT DOES Direct 1031 Exchange, LLC DO WITH YOUR PERSONAL INFORMATION?

<b>Why?</b>	Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do.
<b>What?</b>	<p>The types of personal information we collect and share depend on the product or service you have with us. This information can include:</p> <ul style="list-style-type: none"> <li>■ Social Security number and W-2's</li> <li>■ Tax Returns and Investment Account Statements</li> <li>■ Trust Documentation and Bank Account Information</li> </ul>
<b>How?</b>	All financial companies need to share members' personal information to run their everyday business. In the section below, we list the reasons financial companies can share their members' personal information; the reasons Direct 1031 Exchange, LLC chooses to share; and whether you can limit this sharing.

Reasons we can share your personal information	Does Direct 1031 Exchange share?	Can you limit this sharing?
<b>For our everyday business purposes—</b> such as to process your transactions, maintain your account(s), respond to court orders and legal investigations, or report to credit bureaus	yes	no
<b>For our marketing purposes—</b> to offer our products and services to you	yes	yes
<b>For joint marketing with other financial companies</b>	no	no
<b>For our affiliates' everyday business purposes—</b> information about your transactions and experiences	no	no
<b>For our affiliates' everyday business purposes—</b> information about your creditworthiness	no	no
<b>For nonaffiliates to market to you</b>	no	no

<b>To limit our sharing</b>	<ul style="list-style-type: none"> <li>■ Call 866-411-1031 —our menu will prompt you through your choice(s) or</li> <li>■ Visit us online: <a href="http://www.direct1031exchange.com">www.direct1031exchange.com</a></li> </ul> <p><b>Please note:</b></p> <p>If you are a <i>new</i> customer, we can begin sharing your information 0 days from the date we sent this notice. When you are <i>no longer</i> our customer, we continue to share your information as described in this notice.</p> <p>However, you can contact us at any time to limit our sharing.</p>
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<b>Questions?</b>	Call 866-411-1031 or go to <a href="http://www.direct1031exchange.com">www.direct1031exchange.com</a>
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## Who we are

Who is providing this notice?

Direct 1031 Exchange, LLC

## What we do

How does Direct 1031 Exchange, LLC protect my personal information?

To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. These measures include computer safeguards and secured files and buildings.

How does Direct 1031 Exchange, LLC collect my personal information?

We collect your personal information, for example, when you

- Register on our Portal or Invest in an Offering
- or
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Why can't I limit all sharing?

Federal law gives you the right to limit only

- sharing for affiliates' everyday business purposes—information about your creditworthiness
- affiliates from using your information to market to you
- sharing for nonaffiliates to market to you

State laws and individual companies may give you additional rights to limit sharing.

What happens when I limit sharing for an account I hold jointly with someone else?

The sharing limit only applies to you as the person requesting limited sharing.

## Definitions

**Affiliates**

Companies related by common ownership or control. They can be financial and nonfinancial companies.

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**Nonaffiliates**

Companies not related by common ownership or control. They can be financial and nonfinancial companies.

- *North Capital Investment*

**Joint marketing**

A formal agreement between nonaffiliated financial companies that together market financial products or services to you.

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## Other important information